

Keurig Green Mountain, Inc.
Conflict Minerals Report

For the reporting period from January 1, 2016 to December 31, 2016

Introduction

Keurig Green Mountain, Inc. (the “Company” or “Keurig”) has prepared this Conflict Minerals Report (this “Report”) for the reporting period from January 1, 2016 to December 31, 2016. On March 3, 2016, Keurig and JAB Holding Company (“JAB”) announced the successful completion of the acquisition of Keurig by a JAB-led investor group. With the transaction complete, Keurig became a private company and an independent part of the JAB family and its leading global coffee platform. As a private company, Keurig is not subject to Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the “Rule”), but has voluntarily prepared this Report using the Rule as guidance.

This Report describes Keurig’s due diligence process and voluntary compliance with the Rule’s requirements. The Rule requires disclosure of certain information when a company manufactures, or contracts to manufacture, products which contain the minerals specified in the Rule, if those minerals are necessary to the functionality or production of such products. The specified minerals, which the Company collectively refers to in this Report as “3TG”, are gold, columbite-tantalite (coltan), cassiterite and wolframite and their derivatives, which are limited to tantalum, tin and tungsten. The “Covered Countries” for the purposes of the Rule and this Report are the Democratic Republic of the Congo, the Republic of the Congo, the Central African Republic, South Sudan, Uganda, Rwanda, Burundi, Tanzania, Zambia and Angola.

As described in this Report, certain 3TG are necessary to the functionality or production of products that the Company¹ contracted to manufacture in calendar year 2016. These products are Keurig’s brewers (the “Covered Products”).² Third-party products that Keurig retails but that it does not manufacture or contract to manufacture are outside of the scope of this Report.

The Company has in good faith conducted a reasonable country of origin inquiry regarding 3TG. This country of origin inquiry was designed to determine whether any of the 3TG used in the Covered Products originated in the Covered Countries and whether any of such 3TG may be from recycled or scrap sources. The Company also performed due diligence on the source and chain of custody of such 3TG as described in more detail below.

Keurig’s due diligence process is based on the *Organization for Economic Cooperation and Development’s* (“OECD”) *Due Diligence Guidance for Responsible Supply Chains of Minerals*

¹ Does not include products manufactured or that were contracted to manufacture by joint ventures that the Company does not control.

² Does not include (i) pods in accordance with the SEC’s Dodd-Frank Wall Street Reform and Consumer Protection Act, Frequently Asked Questions, #6, or (ii) products manufactured by VKI Technologies Inc., (“VKI”), an affiliate of the Company.

from Conflict-Affected and High-Risk Areas and accompanying Supplements (the “OECD Guidance”).³

Keurig Conflict Minerals Policy

The Company has adopted a policy relating to 3TG incorporating the standards set forth in the OECD Guidance (the “Company Conflict Minerals Policy”) in its Responsible Sourcing Supplier Guidelines. All of Keurig’s suppliers are required to adhere to its Responsible Sourcing Supplier Guidelines, which are available on its website at www.KeurigGreenMountain.com/SupplierGuidelines.

The Company’s Conflict Minerals Policy states in part that the Company is committed to: (1) supporting the aims and objectives of the U.S. legislation on the disclosure of 3TG; (2) not knowingly procuring 3TG that originates from facilities in the Covered Countries that are not certified as “conflict free”; and (3) ensuring compliance with the Company Conflict Minerals Policy.

Reasonable Country of Origin Inquiry

In 2016, Keurig identified its direct suppliers of Covered Products, as well as one indirect supplier of Covered Products whom Keurig engages with directly (such direct suppliers and indirect supplier, collectively, the “Suppliers”). Keurig requested that each Supplier submit information to Keurig using the Conflict Free Sourcing Initiative (“CFSI”) Conflict Minerals Reporting Template (the “CMRT”). The CMRT is a survey tool developed by the CFSI to standardize collection of due diligence information in the supply chain. Keurig has been a member of the CFSI since 2016.

The information submitted by Keurig’s Suppliers in the CMRTs included information gathered by those suppliers about the smelters and refiners identified in their own supply chains which Keurig utilized to conduct further due diligence. As described in more detail below, Keurig’s responsible sourcing team reviewed each CMRT for completeness and performed a red flag analysis on each CMRT received.

Keurig compared the list of smelters and refiners reported by Suppliers against the CFSI’s smelter reference list (verified 3TG smelters and refiners). The list of these verified smelters and refiners that our Suppliers reported as being in their supply chains is set forth in Annex I. The list of countries from which Keurig believes the 3TG in their products may have originated is set forth in Annex II. Some 3TG also originated from recycled or scrap sources. This information was obtained through Keurig’s membership in the CFSI, using the Reasonable Country of Origin Inquiry (RCOI) report dated July 14, 2017 that is available to Keurig as a member of CFSI.

³ OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Supplement on Tin, Tantalum and Tungsten and Supplement on Gold, 2013; <http://www.oecd.org/daf/inv/mne/GuidanceEdition2.pdf>.

The CFSI RCOI report only includes information for smelters that are “Compliant” according to the CFSI’s Conflict Free Smelter Program (CFSP). Some 3TG in Keurig’s Covered Products is sourced through verified smelters and refiners that are not yet CFSP Compliant and therefore Keurig has not yet determined the country of origin of those minerals. Select Suppliers reported to Keurig that they source from the Covered Countries. In one case a Supplier reported that they source from the Covered Countries, but did not provide smelter names. Keurig therefore could not identify whether the smelter(s) sourcing from the Covered Countries were CFSP Compliant. Another Supplier reported that they source from the Covered Countries and reported to Keurig that the reported smelters were CFSP Compliant. Keurig referenced the CFSP Compliant smelter list and confirmed that these are CFSP Compliant smelters.

Due Diligence

Design of Due Diligence

Keurig designed its due diligence measures in accordance with the OECD Guidance, and the related supplements on 3TG.

The OECD Guidance utilizes a five-step process for due diligence:

Step 1: Establish Strong Company Management Systems

Step 2: Identify and Assess Risks in the Supply Chain

Step 3: Design and Implement a Strategy to Respond to Identified Risks

Step 4: Carry Out Independent Third-Party Audit of Smelters’ and Refiners’ Due Diligence Practices

Step 5: Report Annually on Supply Chain Due Diligence

Keurig intends to retain conflict minerals documentation materials for five years after receipt.

Below is a description of Keurig’s due diligence measures performed for the 2016 reporting year.

Due Diligence Measures Performed

Members from the Company’s procurement, legal, and sustainability teams were involved in the due diligence process, which was led by the Company’s responsible sourcing team.

Keurig has established a system of controls and transparency to determine the smelters and refiners in its 3TG supply chain by creating a process to engage Suppliers. Requirements related to conflict minerals and related due diligence activities are included in our standard template for supplier contracts [and specifications].

As described above, as part of its due diligence process Keurig requested that the Suppliers submit a completed CMRT. Keurig also provided Suppliers with links to the CFSI e-learning academy. We assigned 4 separate training modules to each Supplier:

1. Supplier Training Module 1: Introduction to Conflict Minerals Due Diligence
2. Supplier Training Module 2: Supplier Survey
3. Supplier Training Module 3: Risk Assessment
4. Supplier Training Module 4: Strong Management Systems.

All Suppliers completed the 4 training modules. Throughout this process Keurig engaged in ongoing communication and provided support to its Suppliers to facilitate their completion of the CMRTs. To monitor and track performance of risk management efforts, Keurig relies on supplier CMRTs and updates of the CFSI's Conflict Free Smelters Program (CFSP) and Reasonable Country of Origin (RCOI) data. All Suppliers surveyed submitted a CMRT this year.

A key element of responding to identified risks was to review the nature and overall risk of supplier responses received. The Company's responsible sourcing team reviewed each CMRT for completeness and performed a red flag analysis on each CMRT received. This red flag analysis consisted of 10 logical tests to review supplier answers to questions contained in the CMRT for completeness and consistency. Responses that failed a minimum of one red flag review test were singled out for additional follow-up, and a member of the Company's responsible sourcing team contacted such suppliers directly to obtain additional information and/or clarify inconsistent responses in order to assess the risk. In addition to the 10 logical tests Keurig also reviewed for the following two acceptance criteria:

- Keurig requested Suppliers provide a policy that indicates a commitment to reasonably ensuring that only conflict-free materials and components are used in products/parts that are sold to Keurig; and
- Keurig requested Suppliers provide a response at the product level (not the company level) to obtain information about the smelters which are in Keurig's supply chains specifically.

As a member of CFSI, Keurig is part of a collective that funds and carries out audits of Smelters' and Refiners' Due Diligence Practices, which Keurig uses in reporting annually on Supply Chain Due Diligence.

For Suppliers that indicated in their responses that they or a supplier in their supply chain did use 3TG in their part(s) and/or product(s) during calendar year 2016 and provided the applicable smelter or refiner information, the Company reconciled the reported smelters and refiners against the CFSP's Compliant Smelters List (available at www.conflictreesourcing.org) as of July 17, 2017.

As discussed above, Keurig is voluntarily reporting on supply chain due diligence by publishing a Conflict Minerals Report for calendar year 2016 on Keurig's corporate website (www.KeurigGreenMountain.com).

Due Diligence Results

In 2016 Keurig continued its established due diligence processes. For Keurig's two new acceptance criteria on Supplier policy and product level response described above:

- 100% of Suppliers provided a policy that indicates a commitment to reasonably ensuring that only conflict-free materials and components are used in products/parts that are sold to Keurig; and
- 100% of Suppliers reported that they provided a response at the product level.

Based on the information that was provided by the Company's suppliers and otherwise obtained through the due diligence process, Keurig reasonably determined that facilities used to process the 3TG contained in Keurig products included 236 smelters and refiners that were verified as CFSP Compliant.⁴ Based on the information that was provided by the Company's suppliers and otherwise obtained through the due diligence process, 54 of the identified smelters and refiners were not verified as CFSP compliant.⁵ A list of all 290 of the smelters and refiners identified during the due diligence process is included in Annex I to this Report.

Determination

Based on the information obtained pursuant to the due diligence process described above, Keurig believes that the facilities that may have been used to process the 3TG in Keurig products include the smelters and refiners listed in Annex I below. The list of countries from which Keurig believes the 3TG in their products may have originated as well as any materials from recycled and scrap sources, is set forth in Annex II. However, Keurig is not able to conclusively determine all of the countries of origin of the 3TG in all of its products.

Keurig has provided information as of the date of this Report. Subsequent events, such as the inability or unwillingness of any suppliers, smelters or refiners to comply with Keurig's Conflict Minerals Policy, may affect Keurig's future determinations.

⁴ CFSP's Conflict Free Smelters Program List as of July 17, 2017

⁵ CFSP's Conflict Free Smelters Program List as of July 17, 2017

ANNEX I
List of Smelter Facilities

The table below represents a consolidated list of smelters (290 in total) identified by Keurig's Suppliers. The results are based on:

- Information provided by Keurig's Suppliers in their CMRTs; and
- The CFSP Compliant Smelters List as of July 17, 2017

Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Tin	Yunnan Tin Company Limited*	CHINA	CID002180
Tin	Yunnan Chengfeng Non-ferrous Metals Co., Ltd.*	CHINA	CID002158
Gold	So Accurate Group, Inc.	UNITED STATES OF AMERICA	CID001754
Gold	Advanced Chemical Company*	UNITED STATES OF AMERICA	CID000015
Gold	Western Australian Mint trading as The Perth Mint*	AUSTRALIA	CID002030
Gold	Aida Chemical Industries Co., Ltd.*	JAPAN	CID000019
Gold	Al Etihad Gold Refinery DMCC*	UNITED ARAB EMIRATES	CID002560
Gold	Allgemeine Gold-und Silberscheideanstalt A.G.*	GERMANY	CID000035
Gold	Almalyk Mining and Metallurgical Complex (AMMC)*	UZBEKISTAN	CID000041
Gold	Asahi Pretec Corp.*	JAPAN	CID000082
Gold	AngloGold Ashanti Córrego do Sítio Mineração*	BRAZIL	CID000058
Gold	Tongling Nonferrous Metals Group Co., Ltd.	CHINA	CID001947
Gold	Argor-Heraeus S.A.*	SWITZERLAND	CID000077
Gold	Asahi Refining Canada Ltd.*	CANADA	CID000924
Gold	Asahi Refining USA Inc.*	UNITED STATES OF AMERICA	CID000920
Gold	Asaka Riken Co., Ltd.*	JAPAN	CID000090
Gold	Atasay Kuyumculuk Sanayi Ve Ticaret A.S.	TURKEY	CID000103
Gold	Aurubis AG*	GERMANY	CID000113
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)*	PHILIPPINES	CID000128
Gold	Boliden AB*	SWEDEN	CID000157
Gold	C. Hafner GmbH + Co. KG*	GERMANY	CID000176

* Denotes smelters and refiners that have been included in CFSP Compliant Smelters List as of July 17, 2017.
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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Gold	Caridad	MEXICO	CID000180
Gold	CCR Refinery - Glencore Canada Corporation*	CANADA	CID000185
Gold	Cendres + Métaux S.A.	SWITZERLAND	CID000189
Gold	Yunnan Copper Industry Co., Ltd.	CHINA	CID000197
Gold	Chimet S.p.A.*	ITALY	CID000233
Gold	Zhongyuan Gold Smelter of Zhongjin Gold Corporation*	CHINA	CID002224
Gold	The Refinery of Shandong Gold Mining Co., Ltd.*	CHINA	CID001916
Gold	Chugai Mining	JAPAN	CID000264
Gold	Daejin Indus Co., Ltd.*	KOREA (REPUBLIC OF)	CID000328
Gold	Daye Non-Ferrous Metals Mining Ltd.	CHINA	CID000343
Gold	DSC (Do Sung Corporation)*	KOREA (REPUBLIC OF)	CID000359
Gold	DODUCO GmbH*	GERMANY	CID000362
Gold	Dowa*	JAPAN	CID000401
Gold	Eco-System Recycling Co., Ltd.*	JAPAN	CID000425
Gold	Elemetal Refining, LLC	UNITED STATES OF AMERICA	CID001322
Gold	Emirates Gold DMCC*	UNITED ARAB EMIRATES	CID002561
Gold	Fidelity Printers and Refiners Ltd.	ZIMBABWE	CID002515
Gold	OJSC Novosibirsk Refinery*	RUSSIAN FEDERATION	CID000493
Gold	Zijin Mining Group Co., Ltd. Gold Refinery*	CHINA	CID002243
Gold	Gansu Seemine Material Hi-Tech Co., Ltd.	CHINA	CID000522
Gold	Geib Refining Corporation*	UNITED STATES OF AMERICA	CID002459
Gold	Great Wall Precious Metals Co., Ltd. of CBPM	CHINA	CID001909
Gold	Guangdong Jinding Gold Limited	CHINA	CID002312
Gold	Guoda Safina High-Tech Environmental Refinery Co., Ltd.	CHINA	CID000651
Gold	Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA	CID000671
Gold	Heimerle + Meule GmbH*	GERMANY	CID000694
Gold	Heraeus Ltd. Hong Kong*	CHINA	CID000707
Gold	Heraeus Precious Metals GmbH & Co. KG*	GERMANY	CID000711

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Gold	Hunan Chenzhou Mining Co., Ltd.	CHINA	CID000767
Gold	HwaSeong CJ Co., Ltd.	KOREA (REPUBLIC OF)	CID000778
Gold	Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd.*	CHINA	CID000801
Gold	Ishifuku Metal Industry Co., Ltd.*	JAPAN	CID000807
Gold	Istanbul Gold Refinery*	TURKEY	CID000814
Gold	Japan Mint*	JAPAN	CID000823
Gold	Jiangxi Copper Co., Ltd.*	CHINA	CID000855
Gold	JSC Ekaterinburg Non-Ferrous Metal Processing Plant*	RUSSIAN FEDERATION	CID000927
Gold	JSC Uralelectromed*	RUSSIAN FEDERATION	CID000929
Gold	JX Nippon Mining & Metals Co., Ltd.*	JAPAN	CID000937
Gold	Kaloti Precious Metals	UNITED ARAB EMIRATES	CID002563
Gold	Kazakhmys Smelting LLC	KAZAKHSTAN	CID000956
Gold	Kazzinc*	KAZAKHSTAN	CID000957
Gold	Kennecott Utah Copper LLC*	UNITED STATES OF AMERICA	CID000969
Gold	KGHM Polska Miedź Spółka Akcyjna	POLAND	CID002511
Gold	Kojima Chemicals Co., Ltd.*	JAPAN	CID000981
Gold	Korea Zinc Co., Ltd.*	KOREA (REPUBLIC OF)	CID002605
Gold	Kyrgyzaltyn JSC*	KYRGYZSTAN	CID001029
Gold	L'azurde Company For Jewelry	SAUDI ARABIA	CID001032
Gold	Lingbao Gold Co., Ltd.	CHINA	CID001056
Gold	Lingbao Jinyuan Tonghui Refinery Co., Ltd.	CHINA	CID001058
Gold	LS-NIKKO Copper Inc.*	KOREA (REPUBLIC OF)	CID001078
Gold	Luoyang Zijin Yinhui Gold Refinery Co., Ltd.	CHINA	CID001093
Gold	Materion*	UNITED STATES OF AMERICA	CID001113
Gold	Matsuda Sangyo Co., Ltd.*	JAPAN	CID001119
Gold	Sumitomo Metal Mining Co., Ltd.*	JAPAN	CID001798
Gold	Metalor Technologies S.A.*	SWITZERLAND	CID001153
Gold	Metalor Technologies (Singapore) Pte., Ltd.*	SINGAPORE	CID001152
Gold	Metalor Technologies (Suzhou) Ltd.*	CHINA	CID001147
Gold	Metalor USA Refining Corporation*	UNITED STATES OF AMERICA	CID001157
Gold	Metalúrgica Met-Mex Peñoles S.A.	MEXICO	CID001161

* Denotes smelters and refiners that have been included in CFSP Compliant Smelters List as of July 17, 2017.
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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
	De C.V.*		
Gold	Mitsubishi Materials Corporation*	JAPAN	CID001188
Gold	Mitsui Mining and Smelting Co., Ltd.*	JAPAN	CID001193
Gold	MMTC-PAMP India Pvt., Ltd.*	INDIA	CID002509
Gold	Morris and Watson	NEW ZEALAND	CID002282
Gold	Moscow Special Alloys Processing Plant*	RUSSIAN FEDERATION	CID001204
Gold	Nadir Metal Rafineri San. Ve Tic. A.Ş.*	TURKEY	CID001220
Gold	Navoi Mining and Metallurgical Combinat	UZBEKISTAN	CID001236
Gold	Nihon Material Co., Ltd.*	JAPAN	CID001259
Gold	Ögussa Österreichische Gold- und Silber-Scheideanstalt GmbH*	AUSTRIA	CID002779
Gold	Ohura Precious Metal Industry Co., Ltd.*	JAPAN	CID001325
Gold	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet)*	RUSSIAN FEDERATION	CID001326
Gold	PAMP S.A.*	SWITZERLAND	CID001352
Gold	Penglai Penggang Gold Industry Co., Ltd.	CHINA	CID001362
Gold	Prioksky Plant of Non-Ferrous Metals*	RUSSIAN FEDERATION	CID001386
Gold	PT Aneka Tambang (Persero) Tbk*	INDONESIA	CID001397
Gold	PX Précinox S.A.*	SWITZERLAND	CID001498
Gold	Rand Refinery (Pty) Ltd.*	SOUTH AFRICA	CID001512
Gold	Republic Metals Corporation*	UNITED STATES OF AMERICA	CID002510
Gold	Royal Canadian Mint*	CANADA	CID001534
Gold	Sabin Metal Corp.	UNITED STATES OF AMERICA	CID001546
Gold	Samduck Precious Metals*	KOREA (REPUBLIC OF)	CID001555
Gold	Samwon Metals Corp.	KOREA (REPUBLIC OF)	CID001562
Gold	SAXONIA Edelmetalle GmbH*	GERMANY	CID002777
Gold	Schone Edelmetaal B.V.*	NETHERLANDS	CID001573
Gold	Shandong Tiancheng Biological Gold Industrial Co., Ltd.	CHINA	CID001619
Gold	Shandong Zhaojin Gold & Silver	CHINA	CID001622

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
	Refinery Co., Ltd.*		
Gold	Tanaka Kikinzoku Kogyo K.K.*	JAPAN	CID001875
Gold	Sichuan Tianze Precious Metals Co., Ltd.*	CHINA	CID001736
Gold	Singway Technology Co., Ltd.*	TAIWAN, PROVINCE OF CHINA	CID002516
Gold	SOE Shyolkovsky Factory of Secondary Precious Metals*	RUSSIAN FEDERATION	CID001756
Gold	Solar Applied Materials Technology Corp.*	TAIWAN, PROVINCE OF CHINA	CID001761
Gold	Sudan Gold Refinery	SUDAN	CID002567
Gold	T.C.A S.p.A*	ITALY	CID002580
Gold	Tokuriki Honten Co., Ltd.*	JAPAN	CID001938
Gold	Torecom*	KOREA (REPUBLIC OF)	CID001955
Gold	Umicore Brasil Ltda.*	BRAZIL	CID001977
Gold	Umicore Precious Metals Thailand*	THAILAND	CID002314
Gold	Umicore S.A. Business Unit Precious Metals Refining*	BELGIUM	CID001980
Gold	United Precious Metal Refining, Inc.*	UNITED STATES OF AMERICA	CID001993
Gold	Valcambi S.A.*	SWITZERLAND	CID002003
Gold	WIELAND Edelmetalle GmbH*	GERMANY	CID002778
Gold	Yamamoto Precious Metal Co., Ltd.*	JAPAN	CID002100
Tantalum	Changsha South Tantalum Niobium Co., Ltd.*	CHINA	CID000211
Tantalum	Conghua Tantalum and Niobium Smeltry*	CHINA	CID000291
Tantalum	D Block Metals, LLC*	UNITED STATES OF AMERICA	CID002504
Tantalum	Duoluoshan*	CHINA	CID000410
Tantalum	Exotech Inc.*	UNITED STATES OF AMERICA	CID000456
Tantalum	F&X Electro-Materials Ltd.*	CHINA	CID000460
Tantalum	FIR Metals & Resource Ltd.*	CHINA	CID002505
Tantalum	Global Advanced Metals Aizu*	JAPAN	CID002558
Tantalum	Global Advanced Metals Boyertown*	UNITED STATES OF AMERICA	CID002557
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.*	CHINA	CID000616

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Tantalum	H.C. Starck Co., Ltd.*	THAILAND	CID002544
Tantalum	H.C. Starck GmbH Goslar*	GERMANY	CID002545
Tantalum	H.C. Starck GmbH Laufenburg	GERMANY	CID002546
Tantalum	H.C. Starck Hermsdorf GmbH*	GERMANY	CID002547
Tantalum	H.C. Starck Inc.*	UNITED STATES OF AMERICA	CID002548
Tantalum	H.C. Starck Ltd.*	JAPAN	CID002549
Tantalum	H.C. Starck Smelting GmbH & Co. KG*	GERMANY	CID002550
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.*	CHINA	CID002492
Tantalum	Hi-Temp Specialty Metals, Inc.	UNITED STATES OF AMERICA	CID000731
Tantalum	Jiangxi Dinghai Tantalum & Niobium Co., Ltd.*	CHINA	CID002512
Tantalum	Jiujiang JinXin Nonferrous Metals Co., Ltd.*	CHINA	CID000914
Tantalum	Jiujiang Tanbre Co., Ltd.*	CHINA	CID000917
Tantalum	Jiujiang Zhongao Tantalum & Niobium Co., Ltd.*	CHINA	CID002506
Tantalum	KEMET Blue Metals*	MEXICO	CID002539
Tantalum	KEMET Blue Powder*	UNITED STATES OF AMERICA	CID002568
Tantalum	King-Tan Tantalum Industry Ltd.*	CHINA	CID000973
Tantalum	LSM Brasil S.A.*	BRAZIL	CID001076
Tantalum	Metallurgical Products India Pvt., Ltd.*	INDIA	CID001163
Tantalum	Mineração Taboca S.A.*	BRAZIL	CID001175
Tantalum	Mitsui Mining & Smelting*	JAPAN	CID001192
Tantalum	Molycorp Silmet A.S.*	ESTONIA	CID001200
Tantalum	Ningxia Orient Tantalum Industry Co., Ltd.*	CHINA	CID001277
Tantalum	Plansee SE Liezen	AUSTRIA	CID002540
Tantalum	Plansee SE Reutte	AUSTRIA	CID002556
Tantalum	QuantumClean*	UNITED STATES OF AMERICA	CID001508
Tantalum	Resind Indústria e Comércio Ltda.*	BRAZIL	CID002707
Tantalum	RFH Tantalum Smeltry Co., Ltd.*	CHINA	CID001522
Tantalum	Solikamsk Magnesium Works OAO*	RUSSIAN FEDERATION	CID001769
Tantalum	Taki Chemical Co., Ltd.*	JAPAN	CID001869
Tantalum	Telex Metals*	UNITED STATES OF AMERICA	CID001891
Tantalum	Tranzact, Inc.	UNITED STATES OF AMERICA	CID002571

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Tantalum	Ulba Metallurgical Plant JSC*	KAZAKHSTAN	CID001969
Tantalum	XinXing HaoRong Electronic Material Co., Ltd.*	CHINA	CID002508
Tantalum	Yichun Jin Yang Rare Metal Co., Ltd.*	CHINA	CID002307
Tantalum	Zhuzhou Cemented Carbide Group Co., Ltd.*	CHINA	CID002232
Tin	Alpha*	UNITED STATES OF AMERICA	CID000292
Tin	An Vinh Joint Stock Mineral Processing Company	VIET NAM	CID002703
Tin	PT Bukit Timah*	INDONESIA	CID001428
Tin	PT Refined Bangka Tin*	INDONESIA	CID001460
Tin	Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.*	CHINA	CID000228
Tin	Jiangxi Ketai Advanced Material Co., Ltd.*	CHINA	CID000244
Tin	China Tin Group Co., Ltd.*	CHINA	CID001070
Tin	CNMC (Guangxi) PGMA Co., Ltd.	CHINA	CID000278
Tin	Cooperativa Metalurgica de Rondônia Ltda.*	BRAZIL	CID000295
Tin	CV Ayi Jaya*	INDONESIA	CID002570
Tin	CV Gita Pesona*	INDONESIA	CID000306
Tin	PT Justindo	INDONESIA	CID000307
Tin	PT Aries Kencana Sejahtera*	INDONESIA	CID000309
Tin	CV Serumpun Sebalai*	INDONESIA	CID000313
Tin	CV United Smelting*	INDONESIA	CID000315
Tin	CV Venus Inti Perkasa*	INDONESIA	CID002455
Tin	Dowa*	JAPAN	CID000402
Tin	Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company	VIET NAM	CID002572
Tin	Elmet S.L.U.*	SPAIN	CID002774
Tin	EM Vinto*	BOLIVIA (PLURINATIONAL STATE OF)	CID000438
Tin	Estanho de Rondônia S.A.	BRAZIL	CID000448
Tin	Fenix Metals*	POLAND	CID000468
Tin	Minsur*	PERU	CID001182
Tin	Gejiu Non-Ferrous Metal Processing	CHINA	CID000538

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
	Co., Ltd.*		
Tin	Gejiu Kai Meng Industry and Trade LLC*	CHINA	CID000942
Tin	Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.*	CHINA	CID001908
Tin	Gejiu Zili Mining And Metallurgy Co., Ltd.	CHINA	CID000555
Tin	Huichang Jinshunda Tin Co., Ltd.*	CHINA	CID000760
Tin	PT Timah (Persero) Tbk Mentok*	INDONESIA	CID001482
Tin	Nankang Nanshan Tin Manufactory Co., Ltd.	CHINA	CID001231
Tin	PT Timah (Persero) Tbk Kundur*	INDONESIA	CID001477
Tin	Magnu's Minerai's Metais e Ligas Ltda.*	BRAZIL	CID002468
Tin	Malaysia Smelting Corporation (MSC)*	MALAYSIA	CID001105
Tin	Melt Metais e Ligas S.A.*	BRAZIL	CID002500
Tin	Metallic Resources, Inc.*	UNITED STATES OF AMERICA	CID001142
Tin	Metallo-Chimique N.V.*	BELGIUM	CID002773
Tin	Mineração Taboca S.A.*	BRAZIL	CID001173
Tin	Mitsubishi Materials Corporation*	JAPAN	CID001191
Tin	Nghe Tinh Non-Ferrous Metals Joint Stock Company	VIET NAM	CID002573
Tin	O.M. Manufacturing (Thailand) Co., Ltd.*	THAILAND	CID001314
Tin	O.M. Manufacturing Philippines, Inc.*	PHILIPPINES	CID002517
Tin	Operaciones Metalurgical S.A.*	BOLIVIA (PLURINATIONAL STATE OF)	CID001337
Tin	Phoenix Metal Ltd.	RWANDA	CID002507
Tin	PT Artha Cipta Langgeng*	INDONESIA	CID001399
Tin	PT ATD Makmur Mandiri Jaya*	INDONESIA	CID002503
Tin	PT Babel Inti Perkasa*	INDONESIA	CID001402
Tin	PT Bangka Prima Tin*	INDONESIA	CID002776
Tin	PT Bangka Tin Industry*	INDONESIA	CID001419
Tin	PT Belitung Industri Sejahtera*	INDONESIA	CID001421
Tin	PT Cipta Persada Mulia	INDONESIA	CID002696
Tin	PT DS Jaya Abadi*	INDONESIA	CID001434

* Denotes smelters and refiners that have been included in CFSP Compliant Smelters List as of July 17, 2017.
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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Tin	PT Eunindo Usaha Mandiri*	INDONESIA	CID001438
Tin	PT Inti Stania Prima*	INDONESIA	CID002530
Tin	PT Karimun Mining*	INDONESIA	CID001448
Tin	PT Mitra Stania Prima*	INDONESIA	CID001453
Tin	PT Panca Mega Persada*	INDONESIA	CID001457
Tin	PT Prima Timah Utama*	INDONESIA	CID001458
Tin	PT Sariwiguna Binasentosa*	INDONESIA	CID001463
Tin	PT Stanindo Inti Perkasa*	INDONESIA	CID001468
Tin	PT Sukses Inti Makmur*	INDONESIA	CID002816
Tin	PT Sumber Jaya Indah*	INDONESIA	CID001471
Tin	PT Tinindo Inter Nusa*	INDONESIA	CID001490
Tin	PT Tirus Putra Mandiri	INDONESIA	CID002478
Tin	PT Tommy Utama*	INDONESIA	CID001493
Tin	PT Wahana Perkit Jaya	INDONESIA	CID002479
Tin	Resind Indústria e Comércio Ltda.*	BRAZIL	CID002706
Tin	Rui Da Hung*	TAIWAN, PROVINCE OF CHINA	CID001539
Tin	Soft Metais Ltda.*	BRAZIL	CID001758
Tin	Thaisarco*	THAILAND	CID001898
Tin	Tuyen Quang Non-Ferrous Metals Joint Stock Company	VIET NAM	CID002574
Tin	VQB Mineral and Trading Group JSC*	VIET NAM	CID002015
Tin	White Solder Metalurgia e Mineração Ltda.*	BRAZIL	CID002036
Tungsten	A.L.M.T. TUNGSTEN Corp.*	JAPAN	CID000004
Tungsten	Asia Tungsten Products Vietnam Ltd.	VIET NAM	CID002502
Tungsten	Kennametal Huntsville*	UNITED STATES OF AMERICA	CID000105
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.*	CHINA	CID000218
Tungsten	Chenzhou Diamond Tungsten Products Co., Ltd.*	CHINA	CID002513
Tungsten	Ganzhou Huaxing Tungsten Products Co., Ltd.*	CHINA	CID000875
Tungsten	Chongyi Zhangyuan Tungsten Co., Ltd.*	CHINA	CID000258
Tungsten	Dayu Jincheng Tungsten Industry	CHINA	CID002518

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
	Co., Ltd.		
Tungsten	Fujian Jinxin Tungsten Co., Ltd.*	CHINA	CID000499
Tungsten	Ganzhou Jiangwu Ferrotungsten Co., Ltd.*	CHINA	CID002315
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.*	CHINA	CID002494
Tungsten	Ganzhou Yatai Tungsten Co., Ltd.	CHINA	CID002536
Tungsten	Global Tungsten & Powders Corp.*	UNITED STATES OF AMERICA	CID000568
Tungsten	H.C. Starck GmbH*	GERMANY	CID002541
Tungsten	H.C. Starck Smelting GmbH & Co.KG*	GERMANY	CID002542
Tungsten	Hunan Chenzhou Mining Co., Ltd.*	CHINA	CID000766
Tungsten	Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji*	CHINA	CID002579
Tungsten	Hunan Chunchang Nonferrous Metals Co., Ltd.*	CHINA	CID000769
Tungsten	Hydrometallurg, JSC*	RUSSIAN FEDERATION	CID002649
Tungsten	Japan New Metals Co., Ltd.*	JAPAN	CID000825
Tungsten	Jiangwu H.C. Starck Tungsten Products Co., Ltd.*	CHINA	CID002551
Tungsten	Jiangxi Gan Bei Tungsten Co., Ltd.*	CHINA	CID002321
Tungsten	Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.	CHINA	CID002313
Tungsten	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.*	CHINA	CID002318
Tungsten	Jiangxi Xincheng Tungsten Industry Co., Ltd.*	CHINA	CID002317
Tungsten	Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd.*	CHINA	CID002535
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.*	CHINA	CID002316
Tungsten	Kennametal Fallon*	UNITED STATES OF AMERICA	CID000966
Tungsten	Malipo Haiyu Tungsten Co., Ltd.*	CHINA	CID002319
Tungsten	Niagara Refining LLC*	UNITED STATES OF AMERICA	CID002589
Tungsten	Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC*	VIET NAM	CID002543
Tungsten	Tejing (Vietnam) Tungsten Co., Ltd.*	VIET NAM	CID001889

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Metal (*)	Smelter Name (*)	Smelter Country (*)	Smelter Identification
Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd.*	VIET NAM	CID002011
Tungsten	Wolfram Bergbau und Hütten AG*	AUSTRIA	CID002044
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.*	CHINA	CID002320
Tungsten	Xinhai Rendan Shaoguan Tungsten Co., Ltd.*	CHINA	CID002095
Tantalum	Mitsui Mining and Smelting Co., Ltd.*	JAPAN	CID001192
Tungsten	Dayu Weiliang Tungsten Co., Ltd.	CHINA	CID000345
Tungsten	Xiamen Tungsten Co., Ltd.*	CHINA	CID002082
Gold	Metalor Technologies (Hong Kong) Ltd.*	CHINA	CID001149
Gold	SEMPSA Joyería Platería S.A.*	SPAIN	CID001585
Gold	Yokohama Metal Co., Ltd.*	JAPAN	CID002129
Tin	CV Dua Sekawan*	INDONESIA	CID002592
Tin	CV Tiga Sekawan*	INDONESIA	CID002593
Tin	PT O.M. Indonesia*	INDONESIA	CID002757
Tin	PT Kijang Jaya Mandiri*	INDONESIA	CID002829
Tin	HuiChang Hill Tin Industry Co., Ltd.*	CHINA	CID002844
Tin	Gejiu Fengming Metallurgy Chemical Plant*	CHINA	CID002848
Tin	Guanyang Guida Nonferrous Metal Smelting Plant*	CHINA	CID002849
Tin	Modeltech Sdn Bhd	MALAYSIA	CID002858
Tin	Gejiu Jinye Mineral Company*	CHINA	CID002859

ANNEX II

Countries from which the minerals in Keurig's products may have originated

Based on CFSI's Reasonable Country of Origin Inquiry report dated July 14, 2017, the countries of origin of the 3TG processed by facilities listed in ANNEX I are believed to include the following:

Angola	Japan	United States of America
Argentina	Kazakhstan	Uzbekistan
Australia	Kenya	Vietnam
Austria	Laos	Zambia
Belgium	Luxembourg	Zimbabwe
Benin	Madagascar	
Bolivia	Malaysia	
Brazil	Mali	
Burkina Faso	Mexico	
Burundi	Mongolia	
Cambodia	Mozambique	
Canada	Myanmar	
Central African Republic	Namibia	
Chile	Netherlands	
China	Nicaragua	
Colombia	Nigeria	
Cote D'Ivoire	Panama	
Czech Republic	Peru	
The Democratic Republic of Congo	Portugal	
Djibouti	Republic of Congo	
Ecuador	Russia	
Egypt	Rwanda	
Eritrea	Senegal	
Estonia	Sierra Leone	
Ethiopia	Singapore	
France	Slovakia	
Germany	South Africa	
Ghana	South Korea	
Guatemala	South Sudan	
Guinea	Spain	
Guyana	Suriname	
Honduras	Switzerland	
Hungary	Taiwan	
India	Tanzania	
Indonesia	Thailand	
Ireland	Togo	
Israel	Uganda	
	United Kingdom	